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 DATE: December 8, 2006

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TO:	Commissioner for Patents, Mail Stop Amendment		FAX NO.: 571-273-8300
FROM:	Christopher A. Rothe	ADMIN. ASST.:	Kathleen Spina
APPLN. NO.:	10/566,912	ATTY. DOCKET NO.:	RCHP-134US
TITLE OF APPLN.:	FUNCTIONALIZED POLYMERIC COLLOIDS		
FILING DATE:	February 3, 2006	ART UNIT:	To Be Assigned
FIRST INVENTOR:	Venkatram P. Shastri	CONF. NO.:	To Be Assigned
TITLE OF DOCUMENT (and List of Attachments): Information Disclosure Statement			
Transmittal Sheet (1 pg.); IDS/PTO/SB08a and PTO/SB/08b (4 pgs.); 1 Non-Patent Reference (2 pgs.)			

 Total Number of Pages: 8 (Including this form)

COMMENTS
PLEASE NOTE THE NEW ATTORNEY DOCKET NUMBER: RCHP-134US
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PTO/SB/21 (09-04) (AVY 10/2004)

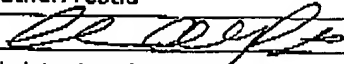
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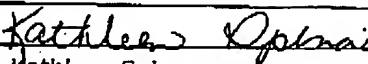
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/566,912
	Filing Date	FEBRUARY 3, 2006
	First Named Inventor	VENKATRAM P. SHASTRI
	Art Unit	TO BE ASSIGNED
	Examiner Name	NOT YET KNOWN
Total Number of Pages In This Submission 8	Attorney Docket No.	RCHP-134US

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ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): PTO FAX COVER SHEET; PTO/SB/08a AND PTO/SB/08b; 1 NON- PATENT REFERENCE.
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestia		
Signature			
Printed Name	Christopher A. Rothe		
Date	December 8, 2006	Registration No.	54,650

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I hereby certify that this correspondence is being facsimile transmitted to 571-273-8300 the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or Printed Name	Kathleen Spina	Date	December 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/566,912
Applicants: VENKATRAM P. SHASTRI et al.
Filed: FEBRUARY 3, 2006
Title: FUNCTIONALIZED POLYMERIC COLLOIDS
T.C./A.U.: To Be Assigned
Examiner: Not Yet Known

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a and/or PTO/SB/08b, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

RCHP-134US

PATENT

This Information Disclosure Statement is being filed before mailing of the first Official Action on the merits. No first Official Action on the merits has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Jonathan H. Spadt, Reg. No. 45,122
Christopher A. Rothe, Reg. No. 54,650
Attorneys for Applicants

JHS/CAR/ks

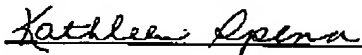
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PTO/SB/08b;
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Kathleen Spina

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	Application Number	10/566,912
	Filing Date	FEBRUARY 3, 2006
	First Named Inventor	VENKATRAM P. SHASTRI
	Art Unit	TO BE ASSIGNED
	Examiner Name	NOT YET KNOWN
SHEET 1 of 2	Attorney Docket No.	RCHP-134US

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
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*See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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	Application Number	10/566,912
	Filing Date	FEBRUARY 3, 2006
	First Named Inventor	VENKATRAM P. SHASTRI
	Art Unit	TO BE ASSIGNED
	Examiner Name	NOT YET KNOWN
SHEET 2 of 2	Attorney Docket No.	RCHP-134US

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